



PTO/SB/97 (05-03)  
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Request For Recordation Under 37 CFR 3.11  
Copy of Application Transmittal Letter  
Copy of Express Mail Label No. EL 852370989 US  
Copy of postcard  
Copy of Recordation Cover Sheet and Assignment

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

9

Application Number

09/998,764

Filing Date

November 29, 2001

First Named Inventor

Masaki Nakamura

Group Art Unit

1755

Examiner Name

Shalie A. Manlove

Attorney Docket Number

56232.13

## ENCLOSURES (check all that apply)

- ☒ Deposit Account 07-1850 Authorization
- ☒ Postage Paid Return Postcard
- ☒ Certificate of Mailing
- ☐ Amendment Transmittal Letter
- ☐ Affidavits/declaration(s)
- ☐ Amendment Under 37 CFR 1.312
- ☐ Information Disclosure Statement (in duplicate) with Form PTO-1449 and \_\_\_ References
- ☒ Express Mail Label No. EV 337 978 331 US
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s) Formal \_\_\_ Sheets
- ☒ Issue Fee Transmittal (in duplicate)
- ☒ "Fee Address" Indication Form (1 page)
- ☐ Submission Of Formal Drawings
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Request for Status of Application
- ☒ Other Enclosure(s) (please identify below):  
**Request Under 37 CFR § 3.81 (2 pages); copy of Request for Recordation Under 37 CFR 3.11 (2 pages); copy of Certificate of Transmittal Under 37 CFR 1.8 (1 page)**

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Squire, Sanders & Dempsey L.L.P.  
Cameron K. Kerrigan, Reg. No. 44,826

Signature

Date

July 5, 2005

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: July 5, 2005

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Rebecca M. Klits

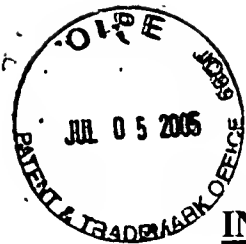
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Rebecca M. Klits

Date

July 5, 2005

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re Application of:

Masaki Nakamura et al.

Examiner: Shalie A. Manlove

Serial No: 09/998,764

Art Unit: 1755

Filed: November 29, 2001

For: Pigment Dispersion Liquid, Manufacturing  
Process Thereof, Pigment Ink For Ink Jetting,  
and Ink Jet Image Recording Method  
Employing the Same

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Assignment Division  
Attn.: Status  
Commissioner for Patents  
USPTO  
PO Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR RECORDATION UNDER 37 CFR § 3.11**

Dear Sir/Madam:

Pursuant to 37 CFR §§ 3.11 and 3.28, Applicants hereby direct the PTO to record the enclosed Assignment document. Also, pursuant to 37 CFR § 1.10, Applicants request the Assignment document to be given a Recording Date of November 29, 2001. This request has been submitted promptly after the undersigned became aware that the PTO has not recorded the enclosed Assignment document.

In support of this Request, please find enclosed herein the following documents: (1) a copy of the Transmittal Letter listing the Assignment and Form PTO-1595, and identifying the Express Mail Label No. EL 852 370 989 US; (2) a copy of the customer copy of Express Mail Label No. EL 852 370 989 US as completed by the USPS showing the "Date In" as November 29, 2001; (3) a copy of the self-addressed postcard (as filed on November 29, 2001) showing that the PTO received the Assignment document and Recordation Cover Sheet (in duplicate); (4) a

copy of the Recordation Form Cover Sheet (1 page) showing the Express Mail Label No.; and (5) a copy of the Assignment (2 pages) showing the Express Mail Label No. The enclosed documents are true copies of the originally mailed correspondence.

It is believed that the failure to record the Assignment document is the result of an error by the PTO. Therefore, no separate fee should be required. To the extent that the payment of a fee is required, however, you are hereby authorized to charge payment of the requisite fees under 37 CFR § 1.17(h), or charge any additional fee required under 37 C.F.R. § 1.17, or credit any overpayment of same, to Deposit Account No. 07-1850.

Date: June 30, 2005

Squire, Sanders & Dempsey L.L.P.  
One Maritime Plaza, Suite 300  
San Francisco, CA 94111-3492  
Telephone (415) 954-0345  
Facsimile (415) 393-9887

Respectfully submitted,

By: 

Paul J. Meyer, Jr.  
Attorney for Applicants  
Reg. No. 47,791



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Re Application of:

Masaki Nakamura et al.

Examiner: Shalie A. Manlove

Serial No: 09/998,764

Art Unit: 1755

Filed: November 29, 2001

For: Pigment Dispersion Liquid, Manufacturing  
Process Thereof, Pigment Ink For Ink Jetting,  
and Ink Jet Image Recording Method  
Employing the Same

Commissioner for Patents  
USPTO  
PO Box 1450  
Alexandria, VA 22313-1450

**REQUEST UNDER 37 CFR § 3.81**

Dear Sir/Madam:

Pursuant to 37 CFR § 3.81, Applicants request the above-mentioned patent application to issue in the name of the assignee, Konica Corporation. Applicants have directed the PTO to record the Assignment document pursuant to 37 CFR §§ 3.11 and 3.28. A copy of the Request for Recordation under 37 CFR 3.11 has been enclosed herewith, including the Certificate of Transmission under 37 CFR 1.8 demonstrating that the Request was filed on June 30, 2005.

It is believed that there is no fee required as a result of this Request. To the extent that the payment of a fee is required, however, you are hereby authorized to charge payment of the requisite fees under 37 CFR § 1.17(h), or charge any additional fee required under 37 C.F.R. § 1.17, or credit any overpayment of same, to Deposit Account No. **07-1850**.

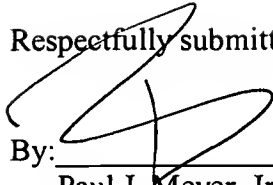
Express Mail Label No.

PATENT  
Attorney Docket No.: 56232.13

Date: July 5, 2005

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Respectfully submitted,

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